

**INSTITIÚID TEICNEOLAÍOCHTA  
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**ATHLONE INSTITUTE OF TECHNOLOGY**



**INTELLECTUAL PROPERTY  
POLICY AND PROCEDURES**

**May 2009**

## CONTENTS

<b>1</b>	Introduction	1
<b>2</b>	Definition	2
<b>3</b>	Aims of the Policy	2
<b>4</b>	Intellectual Property Committee	3
<b>5</b>	Role of the IP Committee	4
<b>6</b>	Role of the Technology Transfer Office	4
<b>7</b>	General Provisions of AIT IP Policy	4
<b>8</b>	Consultancy	7
<b>9</b>	Intellectual Property Assignments and Patent Assignments	7
<b>10</b>	Research Financed by Third Parties	8
<b>11</b>	Administration of the IP Policy	8
<b>12</b>	Disclosure and Evaluation	8
<b>13</b>	Commercialisation	11
<b>14</b>	Licensing and Division of Income	11
<b>15</b>	Publication of Research Results	14
<b>16</b>	Arbitration	14
<b>17</b>	Conflict of Interest Relating Specifically to External or Consultancy Agreements	14
<b>18</b>	Organisations and Companies Collaborating with AIT on Research Projects	15
<b>19</b>	Monitoring and Evaluation of Policy	15

## ANNEX

<b>I</b>	Definition of Intellectual Property
<b>II</b>	Intellectual Property Assignment Agreement for Staff, Students and Other Relevant Parties
<b>III</b>	Intellectual Property Agreement for Staff, Students and Other Relevant Parties who have a Prior Existing and/or Conflicting Intellectual Property Agreements or Arrangements with Another Employer or a Third Party
<b>IV</b>	Invention Declaration Form
<b>V</b>	Data Protection and Confidentiality Undertaking
<b>VI</b>	Non-Disclosure Agreement

## 1. INTRODUCTION

Intellectual Property (“IP”) is of substantial importance in the academic environment of Athlone Institute of Technology (“AIT”). The purpose of defining an IP policy is to encourage the generation of IP by Staff<sup>1</sup>, Students<sup>2</sup>, and Other Relevant Parties<sup>3</sup> (together referred to as “Personnel”). This AIT IP Policy (“IP Policy”) is intended to provide support and guidance regarding commercial exploitation, ownership of and income from IP and the use of AIT facilities and resources to ensure that the development of IP is mutually beneficial for Personnel and AIT.

The Institute recognises and encourages the principle that IP developed at AIT (“AIT IP”) should be used for the greatest public benefit. Commercialisation is often the most efficient means of promoting the widest possible dissemination and use of AIT IP. In such circumstances, it is appropriate and desirable for both AIT and the originator of the IP to benefit from the commercial exploitation of IP produced at AIT.

In particular, this document sets out the principles and rules that govern the creation, ownership and commercialisation of IP developed by Personnel participating in programmes carried out using AIT facilities, know-how, confidential information and/or AIT IP (together, “AIT Assets”).

AIT has a strong interest in promoting the generation of IP in the context of the Government’s drive to create a knowledge based economy, to increase the number of patents coming out of third level institutions and to transfer that technology into viable commercial entities.

For the avoidance of doubt, this IP Policy (and any subsequent amendments made to this IP Policy) is the agreed protocol or IP Policy referenced in:

- (a) the Staff contract of employment;
- (b) the Staff fixed purpose contract;
- (c) any document engaging an Other Relevant Party;
- (d) the Student Handbook; and
- (e) any Acceptance Form or Intellectual Property Assignment Agreement signed by Staff and/or Students and/or Other Relevant Parties.

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<sup>1</sup> In this IP Policy, the term “Staff” refers at all times to AIT part time and whole time staffing having casual, indefinite duration, permanent, pro rata or temporary contracts (including, without limitation AIT post doctoral researchers) and persons employed by AIT on fixed purpose academic contracts.

<sup>2</sup> In this IP Policy, the term “Students” refers at all times to AIT registered undergraduate students and AIT registered postgraduate students.

<sup>3</sup> In this IP Policy, the term “Other Relevant Parties” refers to individuals other than Staff and Students who are engaged in research at AIT and agree to be bound by the provisions of this IP Policy.

This IP Policy also forms part of the regulations of AIT which govern the conduct of Students and Staff.

## 2. DEFINITION

AIT defines IP as the tangible or intangible results of research, development, teaching, or other intellectual activity (a full definition is contained at **Annex I**). Such IP may be created by academic, research and other Staff, by Students and by Other Relevant Parties such as contractors and consultants.

*IP allows creativity and innovation to be captured and owned in the same way that physical property can be owned. IP includes individually and collectively all technical innovations, inventions, improvements, and/or discoveries, information, writings and software, whether or not patentable or otherwise susceptible to IP protection, including technology and materials in their tangible form and includes IP generated from AIT Assets.*

See the full definition of Intellectual Property in Annex I.

## 3. AIMS OF THE POLICY

The objective of this IP Policy is to provide a consistent framework within which AIT IP is developed and managed for the benefit of AIT, the originator and the public good.

The specific aims of the IP Policy are as follows:

- To create an environment that encourages the generation of new knowledge by AIT
- To encourage the recognition and identification of IP within AIT and promote an entrepreneurial culture among Personnel that fosters the development of potentially commercial IP arising from their research at AIT.
- To provide an efficient process by which the commercial potential of IP can be assessed by AIT and its advisors and to ensure that the process of IP evaluation, protection and commercialisation are carried out in a timely manner.
- To motivate the development and exploitation of IP by providing appropriate rewards to both originators and AIT, and to provide administrative assistance to originators.
- To provide support and supervision for the creation of economic structures through which AIT IP is developed and used commercially.
- To maximise the earnings potential from commercialisation and to utilise financial and other returns to advance and encourage research in AIT.
- To encourage strategies of commercialisation and technology transfer that provide the greatest benefit to the Irish economy.

- To encourage public use and timely commercialisation of AIT IP by facilitating its transfer from AIT to industry and business.
- To continue to recognise the traditional AIT practices with respect to education, publication and scholarly works.
- To ensure that the financial return from the development of AIT IP does not distort decisions and operations of AIT in a manner contrary to the mission of AIT.
- To give due regard to the non-financial benefits (e.g. non-cash consideration, benefit of strategic relationships between AIT and third parties, access to IP and confidential information) that will accrue to AIT and to the originators of IP in pursuing the goals of this IP Policy.
- To develop and continually improve a long-term strategy that enables the development of IP, related commercialisation and technology transfer, together with maintenance of high standards of education.
- To foster the general awareness of Personnel of this Policy through dissemination and information campaigns, and to provide specific training to research active Personnel.

#### **4. INTELLECTUAL PROPERTY COMMITTEE**

A Committee shall be set up in AIT after the adoption of this IP Policy and shall consist of the following members (the “IP Committee”):

- A Head of School/ A Director of Research Institute
- Secretary/Financial Controller (or nominee);
- Director of Research (Chair) (or nominee);
- Technology Transfer Officer

The IP Committee members will be required to sign a confidentiality agreement regarding proposals submitted and regarding the IP produced at AIT and presented to the IP Committee. This will ensure that new ideas are protected. AIT reserves the right to alter the composition of the IP Committee.

The relevant AIT Project Manager/AIT Academic Supervisor may be required to put forward a proposal to the IP Committee regarding the IP on a project and may be requested to attend a Committee meeting, where appropriate.

Members of the IP Committee will be required to declare their interest in a proposal if such exists and to absent themselves from any discussion pertaining thereto.

The Technology Transfer Office is in charge of each of the activities set out in this IP Policy including (without limitation) putting the decisions of the IP Committee into effect.

The importance of appropriate outside professional assistance is acknowledged. The IP Committee and the Technology Transfer Office will avail of these resources when appropriate.

## **5. ROLE OF THE IP COMMITTEE**

- Processing of IP applications.
- Determining the commercial value of IP and/or inventions.
- Determining IP agreements with industry regarding collaborative research projects.
- Facilitating a fair and equitable return to those involved in commercialisation of their research/work.
- Nominating negotiators with third parties and ensuring a reasonable financial return to the Personnel involved (where appropriate) and to AIT.

## **6. ROLE OF THE TECHNOLOGY TRANSFER OFFICE**

The Technology Transfer Office is in charge of the administration of the activities set out in this IP Policy including (without limitation) putting the decisions of the IP Committee into effect. The Technology Transfer Officer shall:

- be responsible for the day-to-day management of the IP Policy;
- have authority to externally communicate and make decisions on matters of IP on behalf of AIT; and
- manage internal communications regarding IP within AIT,

subject always to the IP Committee to which it will report as reasonably required by the IP Committee and to which it will remain accountable.

The importance of appropriate outside professional assistance is acknowledged. The Technology Transfer Office will avail of these resources where appropriate.

## **7. GENERAL PROVISIONS OF AIT IP POLICY**

7.1 As a general rule (further details of which are set out in paragraph 7.3, and subject to the exceptions set out in this IP Policy), any IP rights in or to any material/works created by Personnel in the course of their employment or education by AIT or in relation to work carried out for AIT is the property of and vests solely and absolutely in AIT or such companies or organisations as AIT may nominate for such purposes. Such material/works include, but are not limited to any:

- copyright (including rights in computer software and moral rights);

- patents;
- design rights;
- trademark rights;
- brand rights;
- database rights;
- know how;
- trade secrets;
- confidential information rights in design;
- semiconductor topography rights; and
- or other intellectual property rights or other property rights, (whether vested, contingent or future anywhere in the world).

This applies to any IP developed by Personnel which they cause to come into existence:

- during the working hours of AIT or if related to the Institute personnel's job responsibilities, even if he or she is not specifically requested to create it;
- when using AIT's equipment, supplies, facilities or AIT Assets;
- during an individual's use of their personal time or own facilities if the basic nature of the work created is related to the individual's job;
- using AIT's confidential information, trade secrets, know how or any AIT IP; or
- in relation to any work performed for AIT (including pursuant to any third party funded research programmes).

7.2 At AIT's reasonable cost, Personnel also agree at any later time to execute any documentation or otherwise provide assistance to AIT to secure, protect, perfect or enforce any of AIT's rights, title and interests in and to AIT IP.

7.3 This IP Policy is applicable to IP that is owned by AIT, for (without limitation) any of the reasons outlined below:

- It is developed by Personnel in the course of their normal or specifically assigned duties either when IP could reasonably be expected to result from the carrying out of those duties and/or, at the time the IP was developed, there was a special obligation on the relevant Personnel to further the interest of AIT.
- Under the 2000 Act (as defined in *Annex 1* of this IP Policy), AIT is the first owner of any copyright in the work created by Staff in the course of their employment unless precluded by a prior agreement between AIT and a third party (or as covered by paragraph 8 of this IP Policy).
- The IP arises out of funded or non-funded research where such research has, in the opinion of AIT, made use of the equipment, facilities, AIT Assets and/or other resources of AIT (except where ownership of such IP was provided for in a prior agreement between AIT and third parties).

- If it is a condition of the appointment of a Student to perform research, that AIT should have ownership of the IP arising from the research performed by such Student.
- If it is a condition of the appointment of an Other Relevant Party to perform research that AIT should have ownership of the IP arising from the research performed by such Other Relevant Party.

7.4 IP arising from research or other work sponsored by an external organisation (e.g. Enterprise Ireland) shall be subject to the IP provisions that are stipulated in the related agreement between AIT and the external organisation. Where such an agreement requires all new IP rights to be assigned to a private company, the entry level (or “background”) AIT IP should be defined so that it is not inadvertently assigned to the private company as part of the new IP (also described as “foreground IP” or “results”) but is retained as AIT IP. All such research agreements should be reviewed by a legal person representing AIT.

For the avoidance of doubt, where IP is generated from research that is 100% funded by monies provided directly by the State, or by any not-for-profit financial instrument which has been established by an organisation or individual, and awarded through a public service organisation charged with the granting and dissemination of research funds, this IP will be exclusively and absolutely owned by AIT.

7.5 The IP Policy also extends to Other Relevant Parties such as non-employees who participate in research projects at the Institute including visiting academics, industrial personnel etc. unless a specific waiver has been approved. Other Relevant Parties at AIT who have a prior existing and conflicting intellectual property agreement or arrangement with another employer or third party must enter into an agreement with AIT (and their employer or relevant third party) (see Annex III) to abide by the conditions of this IP Policy in the course of their activities in AIT.

7.6 For the avoidance of doubt, AIT, in its sole discretion, may assign to inventor(s), by written instrument only, any IP owned by AIT, which AIT has determined not to be of commercial interest to it. Further, it is understood if AIT does not find a means of exploiting such IP within a three (3) year period after filing, then AIT has the right, but not the obligation, to assign ownership rights to the inventor(s). Requests for assignment to the inventor should be made to the Technology Transfer Officer.

7.7 In order to enable the achievement of the aims and goals of this IP Policy, the Technology Transfer Office will, through dissemination of information and the holding of general information sessions for Personnel, foster the general awareness of Personnel of this Policy. In addition, the Technology Transfer Office will

provide specific training on this IP Policy and its day to day application and relevance to research active Personnel.

## **8. CONSULTANCY**

Staff are permitted to engage in consultancy projects for third parties subject to compliance with AIT's Consultancy Policy and subject to written approval by the President of AIT. Any such approved consultancies must be disclosed to the IP Committee. This AIT Consultancy Policy is available to staff from the Technology Transfer Office.

## **9. INTELLECTUAL PROPERTY ASSIGNMENTS AND PATENT ASSIGNMENTS**

For the avoidance of doubt, the provisions of this paragraph 9 apply to all the departments, centres, institutes, schools and Personnel conducting research or other intellectual activity using AIT Assets and AIT's supplies, facilities, confidential information, trade secrets or existing AIT IP.

As a condition of:

- (a) employment or engagement by AIT as Staff;
- (b) admission by AIT as a Student; or
- (c) engagement by AIT as an Other Relevant Party;

each Student, Staff member and Other Relevant Party (as the case may be) shall comply with this IP Policy and shall agree to assign to AIT (or a person or company nominated by AIT or an agency which provided the funding for the relevant research) any and all IP in and to inventions discovered and produced or otherwise developed while the person was Personnel as the case may be.

When required by the specific circumstances of a project and on the request of the IP Committee, a member of Personnel will agree:

- (a) to sign an Intellectual Property Assignment Agreement (see Annex II);
- (b) to execute such documents of assignment or other documentation required to assign or transfer IP and any moral rights to ensure, protect, perfect and enforce AIT's rights, title and interest in AIT IP;
- (c) to do anything that may reasonably be required to assist any assignee of any patent application or other IP to obtain, protect and maintain its rights, title and interest; and
- (d) shall use all reasonable endeavours to do or procure to be done all such further acts and things and to execute or procure the execution of all such other documents as may be reasonably required from time to time for the purpose of giving each party hereto the full benefit of the provisions of this IP Policy.

## **10. RESEARCH FINANCED BY THIRD PARTIES**

Any research which is partly or wholly financed by any third party agency shall be subject to the specific provisions of the grant or contract covering that research. In the event of any inconsistency between this IP Policy and the terms of any such grant or contract the provisions of the said grant or contract shall prevail provided that the IP clauses in such grant or contract have been reviewed by the Technology Transfer Office (who will seek external advice if necessary) and, in the case of a contract, such contract has been properly executed by AIT.

## **11. ADMINISTRATION OF THE IP POLICY**

At AIT, the office responsible for supporting the development and commercialisation of AIT IP is the Technology Transfer Office. All AIT IP created by Personnel must be disclosed in accordance with the procedures laid down in this IP Policy.

The Technology Transfer Office will ensure that appropriate IP education and training is carried out with respect to Staff and Students, in particular final year undergraduate students (and as required Other Relevant Personnel) of AIT.

## **12. DISCLOSURE AND EVALUATION**

It is a condition of:

- (a) employment or engagement by AIT as Staff;
- (b) admission by AIT as a Student; or
- (c) engagement by AIT as an Other Relevant Party;

that the results of all research or projects should be fully, promptly and completely disclosed to AIT.

In order to enable AIT to ensure that it fulfils its obligations to organisations such as Science Foundation Ireland, Enterprise Ireland, companies and other third parties in both the public and private sectors, who are funding research at AIT, all Personnel must disclose any IP arising from such research to AIT through the Technology Transfer Office as soon as possible after such IP is apparent. The IP should be kept confidential for 6 months until a timely evaluation of the case assessment (including, without limitation, patentability) has taken place. No publication should be made prior to disclosure. Confidentiality agreements and/or non-disclosure agreements should be used where appropriate.

## ***PROCEDURES TO BE FOLLOWED IN RESPECT OF IP PROTECTION APPLICATIONS***

1. Submission of Invention Declaration Form (see Annex IV ):
  - Regarding any discovery or invention made that might be useful, patentable or otherwise protectable, the IP Policy requires that Personnel complete an Invention Declaration Form.
  - The proper and prompt completion of an Invention Declaration Form is essential to the functioning of the Technology Transfer Office.
  - This form should be promptly submitted (and in any event no later than within 30 days of the discovery or invention of the discovery or invention in question) to the Technology Transfer Office.
  
2. Commercial Evaluation of IP:
  - Any IP reported in an Invention Declaration Form shall be submitted to the IP Committee for assessment under the guidelines of the IP Policy and for recommendations to AIT regarding the patentability and/or potential commercialisation.
  - The IP Committee may recommend that other suitably qualified advisors or external consultants be engaged to advise on the assessment of the IP.
  - As far as practicable, the relevant inventors or researchers should be involved in the evaluation (and where relevant protection) process by the IP Committee.
  - The criteria to assess the commercial value of the IP should include (without limitation):
    - Assessment that the IP does not cater for a once-off need and that it has a potential long-term benefit.
    - Technical and commercial feasibility.
    - Proof of concept (business plan, access to finance etc.).
    - Potential for sale or licensing of technology or consultancy.
    - Demonstrates a competitive advantage based on differentiated or innovative product or service.
    - Development stage of the subject matter.
    - Commercial focus and profit motive.
    - Study of comparable existing subject matter, licences and commercialisation practices.
    - Proximity to market.
    - Market valuations
    - Barriers to entry into markets.
    - Estimated projected sales based on market research.
    - Third party assistance including for example input from industry and state agencies.
    - Estimated cost of patent process.
  - Whilst the criteria listed above are not exhaustive, it provides guidance to persons submitting an application as well as to those determining the commercial value. As it is a complex decision, the IP Committee may refer to other expertise (internal or external) where necessary, and further criteria may be applied.

- A decision will be made by the IP Committee within 60 days of receipt of Invention Declaration Form and the originator of the IP will be notified in writing of the decision made.
  - The IP Committee may decide in appropriate circumstances, to offer the opportunity to the originator of the IP to pursue exploitation independently if this is deemed appropriate under agreed written terms. AIT will have no rights if it subsequently proves successful, subject to any agreed terms.
3. Submission of a patent application or an application for other protection:
- AIT shall have the right, but not the obligation, either directly or through an outside agent, to seek patent or other protection of the IP and to undertake efforts to introduce the invention into public use.
  - Where a decision is made by the IP Committee to proceed with an application for protection of the IP, the process below shall be carried out in a timely manner.
  - Where a decision is made by the IP Committee to proceed with a patent application, the originator of the IP is required to cooperate in every reasonable way, to execute all necessary documents and to assist the IP Committee in completing the patent application form. The application should remain confidential until such time as the process is complete. Confidentiality agreements will be used where appropriate.
  - Commercialisation activities should recognise specific terms and conditions in appropriate funding contracts including any Collaborative Research Agreements.
  - The cost of the submission of the application shall be paid by AIT. The originator may be required to assist AIT and/or its advisors with the preparation of a claim for appropriate support for IP protection. Any expenses incurred will be reimbursed to AIT prior to the distribution of any royalty income (if any) from the IP.
  - The originator of the IP and AIT shall take all reasonable precautions to protect the integrity and confidentiality of the IP in question. The originator of the IP should be aware that publication prior to the filing of patent applications may prevent the granting of certain patents.
  - AIT may decide at any stage to withdraw from the process of exploiting a particular piece of IP. This may arise where:
    - Concern exists regarding the technical or commercial feasibility of a particular piece of IP,
    - costs of exploiting the IP are excessive, or
    - external sponsorship of the process is no longer available.
  - The originator of the IP will be notified in writing of the intention of AIT to withdraw from the process and the withdrawal will apply from immediate effect.
  - The IP may, at AIT's entire discretion, be assigned or licensed to the originator in appropriate circumstances, offering the opportunity to the originator of the IP to pursue exploitation independently.

- No patent application, assignment, licensing or other agreement may be entered into or will be considered valid with respect to AIT IP except when properly and lawfully executed by AIT.

### **13. COMMERCIALISATION**

The Technology Transfer Office will assist, provide advice, or procure the provision of outside professional advice in relation to the various options for commercialisation and technology transfer that may be appropriate in order to best meet the aims of this IP Policy, including:

- Licensing the IP to a third party for a fixed sum or a royalty related to future sales.
- Assigning the IP to a third party for a fixed sum or a royalty related to future sales.
- Developing the commercial potential of the IP through a campus company.
- Developing the commercial potential of the IP through a joint venture with a third party.
- Any other arrangement that may be considered appropriate.

A separate AIT Campus Company Policy outlines AIT's position on spin-off companies and the division of income from such enterprises. This AIT Campus Company Policy is available to staff from the Technology Transfer Office.

In providing this advice and assistance, the Technology Transfer Office will give due consideration to the retention of the right to use and access know-how and research materials for the purpose of continuing and further research as well as options which may provide most benefit to the Irish economy.

### **14. LICENSING AND DIVISION OF INCOME**

#### ***RESEARCH AND TECHNOLOGY TRANSFER FUND***

AIT will establish a research fund to be used to promote and enhance research and development activity and facilities within AIT as determined by the Research, Innovation and Enterprise Committee ("R,I&E Committee") from time to time - the Research and Technology Transfer Fund ("RTT Fund").

In exceptional cases, where there is no access to national funds from public bodies, such as Enterprise Ireland, the RTT Fund will be used to assess, protect and facilitate the commercialisation of AIT IP through the pursuit of patent or other protection, the granting of licenses, the appropriate development of campus companies and/or otherwise to ensure maximum benefit to the Institute and the public good, as determined by the IP Committee in line with the IP Policy and approval of the R,I&E Committee.

### ***DIVISION OF INCOME – GENERAL PRINCIPLES***

The following points apply in relation to licensing and division of income from commercialising AIT IP resulting from AIT research:

- AIT welcomes the development by industry, for public use and benefit, of inventions and other IP resulting from AIT research. AIT will maintain a flexible and open approach to bringing AIT IP into commercial use. Each case will be considered individually and will involve an assessment of all the potential risks and potential rewards.
- The definition of “income” includes revenue derived from the relevant patents or any other AIT IP in question, which are commercialised by AIT, and also includes (without limitation) up-front licence fees, down payments, minimum annual payments, royalties on sales and is net of any expenses incurred by AIT in commercialising or protecting the relevant patents or other AIT IP.
- All direct expenses incurred by AIT in:
  - the patenting or other registration or protections of AIT IP; and
  - the commercialisation of an invention or any other AIT IP;including (without limitation) administrative, licensing, legal, and any other expenses and costs and any subsequent investigation, development and promotion, will be deducted from the initial royalty income or lump sum. No royalty income will be made available for distribution until such expenses have been recovered.
- If more than one inventor is involved, unless formally agreed amongst themselves, with due regard to the value and substance of their respective contributions, the Inventor’s share set out above shall be divided equally among them. If agreement cannot be reached an external arbitration service will be engaged. Its decision will be final.
- The division of royalty income will be carried out within 2 months of the receipt of such income by AIT.
- The originator of the IP’s share shall continue to be paid even though he/she may have left AIT.
- For the avoidance of doubt, Personnel (whether originators of IP or otherwise) that are not Staff or Students but are Other Relevant Party shall not be entitled to royalty income arising from AIT IP to which they have contributed unless this is stated in their contract of engagement or AIT agrees otherwise in writing.

### ***DIVISION OF INCOME FROM PATENTS***

Subject to the General Principles set out above, income derived from inventions or other IP which are patented and commercialised by AIT in accordance with the provisions of this IP Policy will (subject to any ministerial/government department consents which may be required from time to time) be distributed between the originator(s), the originator(s)’ Department(s) and the AIT RTT Fund. While it is recognised that each project may have to be negotiated on its own merits, the following scale will apply (subject to final determination by the IP Committee):

<b>Level of patent income</b>	<b>Inventor(s)</b>	<b>AIT RTT Fund</b>	<b>Inventor's Department</b>
<b>First €80,000 of patent royalties</b>	50%	25%	25%
<b>Over €80,000 of patent royalties</b>	35%	35%	30%

***DIVISION OF INCOME FROM INTELLECTUAL PROPERTY OTHER THAN PATENTS***

Subject to the General Principles set out above, division of income derived from commercialising AIT IP which is not patented may occur from time to time and must be approved by the Financial Controller of AIT on a case by case basis. It is intended that the division of income will where possible be agreed in advance of commencing a research project. However, it is acknowledged that it is more difficult to calculate the level of income that is derived from IP that is not patented than from patents due to issues such as the following:

- Non-patented IP is often used in bundles where a number of kinds of IP are involved e.g. trade secrets, confidential information and know-how with each kind of IP belonging to a separate originator.
- Where non-patented IP is supplied in bundles, it can be difficult to attribute specific value to each of the parts of the bundle.
- Third party IP may form part of the bundle in question.
- AIT non-patented IP may include or be based on third party IP and it may be difficult to separate out the value of each.
- For the avoidance of doubt, AIT makes no claim to academic book royalties or any income arising from authoring any academic articles or publications by Personnel.
- AIT shall be entitled to receive a reimbursement of any costs that it incurs in relation to the creation of non-patented IP by Personnel.

The ranges of income that might be approved by the IP Committee are set out below in the Guide Table, however the final division of income will be recommended by that Committee and approved by the Financial Controller (in certain circumstances, it may require the approval of the Minister of Education and Science). AIT reserves the right to offer a lump sum payment in lieu of an ongoing royalty payment.

<b>Level of income derived from non-patented IP</b>	<b>Right Holder(s)</b>	<b>AIT RTT Fund</b>	<b>Right Holder's Department</b>
<b>First €80,000 of income</b>	10 – 50%	25 - 45%	25 - 45%
<b>Over €80,000 of income</b>	10 – 35%	30 - 50%	30 - 50%

The division of income from campus companies is governed wholly by a separate AIT Campus Company Policy.

**15. PUBLICATION OF RESEARCH RESULTS**

It is AIT Policy to encourage staff and students to place the results of their research in the public domain either through publication in learned journals or presentation at conferences or any other media. This is a vital factor for academic recognition. It is mandatory that such disclosure is not in violation of the terms of any agreement that has been entered into by AIT with a sponsor or other third party.

It must be recognised that premature publication or disclosure except on a confidential basis may make it impossible to obtain valid patent protection. Where possible the delay in publication to enable a patent application to be filed should be for such period as is reasonably determined by the IP Committee to protect the patentability of the IP. The contents of the publication shall be as agreed between AIT and the relevant inventor or originator of the IP.

**16. ARBITRATION**

Any dispute between AIT and the originator of IP will be forwarded to an independent arbitrator to be agreed by the parties. Failing agreement, the arbitrator will be appointed by the President for the time being of the Law Society of Ireland. Expenses incurred in arbitration shall be deducted from royalty income before distribution.

**17. CONFLICT OF INTEREST RELATING SPECIFICALLY TO EXTERNAL OR CONSULTANCY AGREEMENTS**

AIT requires full disclosure of potential areas of conflict and open discussion at the earliest possible opportunity. AIT will endeavour to alert Staff and Other Relevant Parties to recognise where conflicts may occur and to manage and resolve these conflicts in line with its Conflicts Policy.

**18. ORGANISATIONS AND COMPANIES COLLABORATING WITH AIT ON RESEARCH PROJECTS**

As a public organisation, AIT has an obligation to ensure the maximum public benefit from the exploitation of IP created from both publicly funded research and collaborative co-funded research. This is usually best maximised when IP is commercially exploited.

The AIT IP Committee will conduct a commercial evaluation on the IP created/to be created in a research project and will seek information from the collaborating organisation on how it intends to commercialise the IP, and also determine the IP ownership rights they require from the project. The evaluation to determine the commercial value and IP ownership rights will include an examination of the criteria listed in paragraph 11 of this policy. All such information requested and provided will be treated in strict confidence.

Upon above assessment, the IP Committee will decide on the IP ownership rights AIT requires and will agree the sale/assignment/option, licensing (exclusive or non-exclusive), or joint venture agreements or otherwise with the collaborating company to ensure maximum commercial benefits. A legal agreement will be executed between the parties, setting out the rights, entitlements and obligations of each party prior to the commencement of work on the project.

#### **19. MONITORING AND EVALUATION OF POLICY**

This IP Policy will be monitored by the Technology Transfer Office on an on-going basis. The IP Policy and related research, commercialisation and technology transfer will be monitored and evaluated on an annual basis and may be amended by AIT from time to time. All amendments to this IP Policy shall be posted on the AIT Intranet and such amendments shall be fully valid and effective from the date of posting.

## ANNEX I

### DEFINITION OF INTELLECTUAL PROPERTY

#### 1 Intellectual Property

AIT defines intellectual property as the tangible or intangible results of research, development, teaching, or other intellectual activity. Intellectual property allows creativity and innovation to be captured and owned in the same way as physical property can be owned. Intellectual property includes individually and collectively all technical innovations, inventions, improvements, and/or discoveries, information, writings and software, whether or not patentable or otherwise susceptible to intellectual property protection, including technology and materials in their tangible form.

##### 1.1 Patents

Patents are intended to protect new and improved products and processes that have some technical innovation and are capable of industrial application.

A Patent gives its owner the right, for a limited period, to stop others from making, using or selling the invention without the permission of the owner in a particular territory. Patent rights are territorial in that an Irish Patent does not give Patent rights outside Ireland. Most Patents are for improvements in a known technology rather than the devising of a completely new technology.

##### 1.2 Copyrights

Most of the Copyright and Related Rights Act, 2000 came into force on January 1, 2001. This was intended to transpose a number of EU Directives into Irish law and to bring Irish law into conformity with its obligations under various International Treaties.

Copyright gives the right to control use of certain material such as books and other literature, art, music, sound recordings, films and broadcasts. However, copyright does not protect inventions (see Patents) or brand names (see Trademarks). Most, but not all, uses of copyright material will require permission from the copyright owner.

Copyright protection is automatic in Ireland in that there is no official application or recording system.

##### 1.3 Trademarks

Trademarks are intended to protect a brand's identity so as to distinguish the goods or services of one trader from those of another trader. A Trademark may be a word, logo, slogan, colour, three-dimensional shape and even a sound or smell. The Trademark must be capable of being represented in words and/or pictures.

#### 1.4 Industrial Design and Unregistered Design Right

The Industrial Designs Act 2001, which brings Irish law into compliance with EU Directive 98/71/EC, came into force on **July 1, 2002**. Designs cover the appearance of a product, either the whole or a part, resulting from such features as lines, contours, colours, shape, texture or materials of the product itself or its ornamentation. The term **product** embraces any industrial or handicraft item. The term **product** has a wide meaning that includes packaging, get-up and graphic symbols (e.g. Desktop icons) etc.

Registration is not available for features of a design which are dictated by the technical function of the product. The new law contains a *must fit* exclusion under which it is not possible to obtain registration for features which are dictated by the need for the product to fit another. However, modular products, i.e, made up of several components which can be fitted together in different ways, are protectable.

Design Registration gives the owner the right, for a limited period (up to 25 years, with renewals every 5 years), to stop others from making, using or selling a product to which the design has been applied, or in which it is incorporated.

Since 2003, it is possible to obtain a *Registered Community Design* covering all member states of the EU. Registered Community Design Applications are handled by the Office for Harmonisation in the Internal Market.

An *unregistered design right* is available at Community level and eligibility for protection is the same as for a Registered Design. The right comes into existence automatically by the mere fact of making the product incorporating the design available to the public within the European Community. Protection is limited to 3 years and to preventing the use of copies of original designs. It is important to note that a Registered Design gives exclusivity whereas an *unregistered design right* can only be enforced where copying can be proven.

#### 1.5 Confidential Information and Know How

Protection for confidential information and know-how arises from the law of confidentiality. The confidential information may be know-how associated with a Patent or a Patent Application, or material in existence before, for example, a Patent Application is filed, or material already protected by another form of intellectual property, for example, copyright.

#### 1.6 Domain Names

A domain name is a unique address on the Internet. There are various generic top level domains (“TLDs”), such as .com and .biz, as well as, ccTLDs (Country code), such as .ie.

## **1.7 Tangible Research Property**

This includes biological materials such as cell lines, plasmids, hybridomas, monoclonal antibodies and plant varieties; computer software, databases, integrated circuit chips, prototype devices and equipment, circuit diagrams: and analytical procedures and laboratory methods, whether or not intellectual property protection is available through Patents and/or copyright or otherwise.

## **1.8 Other Forms of Intellectual Property**

Other forms of intellectual property include, but are not limited to, database right for certain types of database (under Copyright and Related Rights Act, 2000); protection for semi-conductor topographies; plant breeders' rights in certain plant varieties; and protection against unfair competition under "passing off" law.

The IP Policy is applicable to *AIT Intellectual Property* that is owned by the AIT for any of the reasons outlined below:

- It is developed by AIT Personnel in the course of their normal or specifically assigned duties either when intellectual property could be reasonably expected to result from the carrying out of those duties and/or, at the time the intellectual property was developed, there was a special obligation on the relevant AIT Personnel to further the interest of AIT.
- Under the 2000 Act (as defined below), AIT is the first owner of any copyright in the work created by AIT Personnel in the course of his/her employment unless precluded by a prior agreement between AIT and a third party (or is covered by paragraph 8 of this IP Policy ).
- The intellectual property arises out of funded or non-funded research where such research has, in the opinion of AIT, made use of the equipment, facilities, AIT Assets and other resources of AIT unless such action was precluded by prior agreement between AIT and third parties.
- If it is a condition of a research contract with a third party that AIT should have ownership of the intellectual property arising from the contract.

## □ COPYRIGHT AND RELATED RIGHTS ACT, 2000 (the “2000 Act”)

### **First ownership of copyright**

Section 23 (1) of the 2000 Act provides that: “The author of a work shall be the first owner of the copyright unless .... the work is made by an employee in the course of employment, in which case the employer is the first owner of any copyright in the work, subject to any agreement to the contrary”.

### **Maker of database**

Section 322 (2) of the 2000 Act provides that: Where a database is made by an employee in the course of employment, his or her employer shall be regarded as the maker of the database, subject to any agreement to the contrary”.

## □ PATENTS ACT 1992 (the “1992 Act”)

### **Right to patent**

Section 16(1) of the 1992 Act provides that: “The right to a patent shall belong to the inventor or his successor in title, but if the inventor is an employee the right to a patent shall be determined in accordance with the law of the state in which the employee is wholly or mainly employed or, if the identity of such state cannot be determined, in accordance with the law of the state in which the employer has his place of business to which the employee is attached.”

## ANNEX II

### Athlone Institute of Technology (“AIT”)

#### Intellectual Property Assignment Agreement for Staff, Students and Other Relevant Parties<sup>4</sup>

Research Project(s) Title: \_\_\_\_\_ (the “Project(s)”)

Research Project(s) Number: \_\_\_\_\_

I understand that, consistent with applicable laws and regulations, AIT is governed in the handling of intellectual property by its official IP Policy<sup>5</sup> entitled *Intellectual Property Policy and Procedures*, (a copy of which I have received), and I agree to abide by the terms and conditions of this IP Policy in the course of my AIT activities and in the work that I carry out on the Project.

As a general rule (and subject to the exceptions set out in this IP Policy) any intellectual property rights in any material, (including any copyright (including rights in computer software and moral rights), patent, design right, trademark rights, brand rights, database rights, know how, trade secrets, confidential information rights in design, semiconductor topography rights or other intellectual property rights or other property rights, whether vested, contingent or future anywhere in the world), created by me in the course of my work with AIT (and in particular in the course of my work on the Project) are the property of and vest solely and absolutely in AIT (“AIT IP”).

Pursuant to the IP Policy, and in consideration of my participation in projects (and the Project(s)) administered by AIT, access to or use of facilities provided by AIT and/or other consideration, I hereby agree as follows:

1. I will disclose to AIT all potentially patentable inventions and other AIT IP conceived or first reduced to practice in whole or in part in the course of my AIT responsibilities, my participation in the Project(s) at AIT or with more than incidental use of AIT resources. I further assign to AIT all my right, title and interest in such patentable inventions and other AIT IP created in connection with AIT and/or the Project(s) and to execute and deliver all documents and do any and all such things necessary and proper on my part to effect such assignment. Such assignment is not inconsistent with the terms of my continuing employment outside of AIT (if any) or with any other agreement I have entered into.

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<sup>4</sup> As the terms Staff, Students and Other Relevant Parties are defined in the AIT IP Policy.

<sup>5</sup> This Intellectual Property Assignment Agreement forms Annex II of the AIT IP Policy.

2. I will not use any information defined as confidential or proprietary by any non-AIT employer (if any) in the course of my AIT responsibilities and I will not do consulting or research work for any non-AIT employer (unless such work is approved of in writing in advance by AIT).
3. I will not enter into any agreement creating copyright or patent obligations in conflict with this Agreement. I hereby waive any moral rights to which I may be entitled under any legislation now existing or in future enacted in any part of the world and for the avoidance of doubt this waiver shall extend to the licensees and successors in title to the copyright in the AIT IP and the Project(s).
4. This Agreement is effective on date of my AIT hire, enrolment or participation in projects administered by AIT, and is binding on me, my estate, heirs and assigns.
5. [I hereby agree and acknowledge that in respect of the Project and in respect of my assignment of IP to AIT in this Agreement, my full and final entitlement to payment, royalty or other income arising therefrom is set out in the Schedule to this Agreement and I further agree and acknowledge that I shall have no other entitlement or claim against AIT or any party to whom AIT assigns or licences this IP in respect of payment, royalty or other income arising therefrom.<sup>6</sup>]

Signed this \_\_\_\_\_ day of \_\_\_\_\_, 20●●

Signature	Printed or typed name
AIT title	AIT School/Research Institute

**[Schedule]**

[Insert details of payment, royalty or other income

OR

I agree and acknowledge that I have no right to any payment, royalty or other income in respect of the Project and in respect of my assignment of IP to AIT in this Agreement.]

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<sup>6</sup> In the event that this document is to be signed by an Other Relevant Party (as that term is defined in the Introduction of the IP Policy), it may be appropriate to include this provision and the Schedule. To be considered on a case by case basis.

## ANNEX III

### Athlone Institute of Technology (“AIT”)

#### **Intellectual Property Assignment Agreement for Staff, Students and Other Relevant Parties<sup>7</sup> who have a prior existing and/or conflicting Intellectual Property Agreement or arrangement with another employer or a third party**

I understand that, consistent with applicable laws and regulations, AIT is governed in the handling of intellectual property by its official IP Policy<sup>8</sup> entitled *Intellectual Property Policy and Procedures*, (a copy of which I have received), and I agree to abide by the terms and conditions of this IP Policy in the course of my AIT activities.

As a general rule (and subject to the exceptions set out in the IP Policy) any intellectual property rights in any material, (including any copyright (including rights in computer software and moral rights), patent, design right, trademark rights, brand rights, database rights, know how, trade secrets, confidential information rights in design, semiconductor topography rights or other intellectual property rights or other property rights, whether vested, contingent or future anywhere in the world), created by me in the course of my work with AIT are the property of and vest solely and absolutely in AIT (“AIT IP”).

Pursuant to the IP Policy, and in consideration of my participation in projects administered by AIT, access to or use of facilities provided by AIT and/or other consideration, I hereby agree as follows:

1. I will disclose to AIT all potentially patentable inventions and other AIT Intellectual Property conceived or first reduced to practice in whole or in part in the course of my AIT responsibilities, my participation in research projects at AIT or with more than incidental use of AIT resources. I further assign [jointly] to AIT [and to my non-AIT employer]<sup>9</sup> all my right, title and interest in such patentable inventions and other AIT Intellectual Property created in connection with AIT and to execute and deliver all documents and do any and all such things necessary and proper on my part to effect such assignment. Such assignment is not inconsistent with the terms of my continuing employment outside of AIT or with any other agreement I have entered into.[I will not use any information defined as confidential or proprietary by my non-AIT employer in the course of my AIT responsibilities and I will not do consulting or research work for my non-AIT employer while at any facility owned or leased by AIT.]<sup>Error! Bookmark not defined.</sup>
2. I will not enter into any agreement creating copyright or patent obligations in conflict with this Agreement.

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<sup>7</sup> As the terms Staff, Students and Other Relevant Parties are defined in the AIT IP Policy.

<sup>8</sup> This Intellectual Property Assignment Agreement forms Annex III of the AIT IP Policy.

<sup>9</sup> To be considered on a case by case basis

3. This Agreement is effective on date of my AIT hire, enrolment or participation in projects administered by AIT, and is binding on me, my estate, heirs and assigns.
4. [I hereby agree and acknowledge that in respect of the Project and in respect of my assignment of IP to AIT in this Agreement, my full and final entitlement to payment, royalty or other income arising therefrom is set out in the Schedule to this Agreement and I further agree and acknowledge that I shall have no other entitlement or claim against AIT or any party to whom AIT assigns or licences this IP in respect of payment, royalty or other income arising therefrom.<sup>10</sup>]

Signed this \_\_\_\_\_ day of \_\_\_\_\_, 20●●

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Printed or typed name

\_\_\_\_\_  
AIT title  
Acknowledged and accepted:

\_\_\_\_\_  
AIT School/Research Institute

\_\_\_\_\_  
Non-AIT Employer (Insert name)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

**[Schedule]**

[Insert details of payment, royalty or other income

<sup>10</sup> In the event that this document is to be signed by an Other Relevant Party (as that term is defined in the Introduction of the IP Policy), it may be appropriate to include this provision and the Schedule. To be considered on a case by case basis.

OR

I agree and acknowledge that I have no right to any payment, royalty or other income in respect of the Project and in respect of my assignment of IP to AIT in this Agreement.]

## ANNEX IV

### INVENTION DECLARATION FORM

#### 1. Title of Invention

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#### 2. Inventors

Name	School/Research Institute	Affiliation with Institute (i.e. department, student, staff, visitor)	Address, contact phone no., e-mail	% Contribution to the Invention

#### 3. Contribution to the Invention

Each contributor/potential inventor should write a paragraph relating to his/her contribution and include a signature and date at the end of the paragraph.

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#### 4. Description of Invention

(Please highlight the novelty/patentable aspect. Attach extra sheets if necessary including diagrams where appropriate).

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5. **Why is this invention more advantageous than present technology?  
What are its novel or unusual features?  
What problems does it solve?**

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6. **Funding Partner(s)**

<b>Government Agency &amp; Department</b>	
<b>% Support</b>	
<b>Contract/Grant No.</b>	
<b>Contact Name</b>	
<b>Phone No.</b>	
<b>Address</b>	

<b>Industry or other Sponsor</b>	
<b>% Support</b>	
<b>Contract/Grant No.</b>	
<b>Contact Name</b>	
<b>Phone No.</b>	
<b>Address</b>	

7. **Where was the research carried out?**

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8. **What is the potential commercial application of this invention?**

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**9. Was there transfer of any materials/information to or from other institutions regarding this invention?**

If so please give details and provide signed agreements where relevant.

**10. Have any third parties any rights to this invention?**

If yes, give names and addresses and a brief explanation of involvement.

**11. Are there any existing or planned disclosures regarding this invention?**

Please give details.

**12. Has any patent application been made? Yes/No**

If yes, give date: \_\_\_\_\_ Application No.: \_\_\_\_\_

Name of patent agent: \_\_\_\_\_

**Please supply copy of specification.**

**13. Is a model or prototype available? Has the invention been demonstrated practically?**

I/we acknowledge that I/we have read, understood and agree with this form and the Institute's *Intellectual Property and Procedures* and that all the information provided in this disclosure is complete and correct.

I/we shall take all reasonable precautions to protect the integrity and confidentiality of the IP in question.

**Inventor:** \_\_\_\_\_  
**Signature** \_\_\_\_\_ **Date** \_\_\_\_\_

## ANNEX V

### **DATA PROTECTION AND CONFIDENTIALITY UNDERTAKING FOR EMPLOYEES, CONTRACTORS OR STUDENTS TAKING PART IN A PROJECT INVOLVING AIT AND AN OUTSIDE PARTNER:**

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#### **DATA PROTECTION AND CONFIDENTIALITY UNDERTAKING**

**Name:** \_\_\_\_\_

**Employee/Student ID Number (if applicable):** \_\_\_\_\_

**Function:** \_\_\_\_\_

**Project Title:** \_\_\_\_\_

**Project Number:** \_\_\_\_\_

In consideration of my appointment by Athlone Institute of Technology (AIT) as a [research assistant] in the performance of services (“Services”) under the [research agreement] (“Agreement”) between AIT and [NAME OF PARTNER] (“Partner”) and the related opportunity to gain experience in the Services, I hereby acknowledge that I will acquire certain confidential information (“Confidential Information”) relating to AIT’s intellectual property, products and strategies and the intellectual property, products and strategies of the Partner.

I hereby undertake to use the Confidential Information only as may be necessary to perform the Services under the Agreement and not to disclose it to any person other than those who have agreed to the same confidentiality undertaking.

I shall use all precautions necessary to protect the Confidential Information from unauthorized disclosure.

I hereby acknowledge that the Confidential Information is a trade secret of AIT and/or the Partner and that AIT and/or the Partner may claim damages from the undersigned for loss of business in the event of any unauthorized disclosure.

For the purposes of the Data Protection Acts 1988 and 2003, I hereby consent to the processing of all personal data that I provide to AIT in connection with the performance of the Services and the Agreement.

This undertaking will continue indefinitely until

- (a) the Confidential Information is published or otherwise made public by AIT; or
- (b) I am expressly released, in writing, from this undertaking by AIT,

and is not conditional on my being or remaining an employee, student of AIT or Other Relevant Party

I hereby acknowledge that this undertaking will also benefit the successors and assigns of AIT together with its related bodies corporate.

\_\_\_\_\_  
Dated:

\_\_\_\_\_  
Signature of person giving the undertaking

\_\_\_\_\_  
Signature of Witness

\_\_\_\_\_  
Name of Witness:

## ANNEX VI

### NON-DISCLOSURE AGREEMENT

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#### NON-DISCLOSURE AGREEMENT

**THIS AGREEMENT** is made on • 200•.

#### **PARTIES:**

- (1) **ATHLONE INSTITUTE OF TECHNOLOGY** of Dublin Road, Athlone, County Westmeath (“AIT”); and
- (2) • of • (“Receiving Party” which expression shall be deemed to include those of its employees or advisors to whom any Confidential Information is to be disclosed).

#### **BACKGROUND:**

AIT proposes to allow the Receiving Party access to certain information concerning its business to enable the Receiving Party to consider and evaluate a possible commercial relationship between AIT and the Receiving Party (“Project”). The Receiving Party agrees to hold and maintain as confidential all such information provided by AIT for such purposes, on the terms and conditions of this Agreement.

#### **AGREED TERMS:**

Now it is hereby agreed as follows consideration of AIT disclosing the Confidential Information (as defined below) to the Receiving Party:

##### 1. **Definition**

“Confidential Information” means any and all information in whatever form disclosed by AIT to the Receiving Party whether orally or in writing or whether eye readable, machine readable or in any other form including, without limitation, the form, materials and design of any relevant software (including source and object code) or equipment or any part thereof, the methods of operation and the various applications thereof, processes, formulae, plans, business plans, strategies, data, know-how, ideas, designs, photographs, drawings, specifications, technical literature, information relating to employees, customers, subscribers, students, post doctoral students, contractors registered with AIT, suppliers or content providers and any other material made available by AIT to the Receiving Party or gained by the visit by the Receiving Party to any establishment of AIT whether before or after this Agreement is entered into, for the purpose of considering, advising in relation to or furthering the Project (and any information derived from such information) and provided that such information is by its nature clearly confidential

(whether or not that information is marked or designated as confidential or proprietary).

## 2. **Undertakings**

The Receiving Party hereby undertakes with AIT:

- (a) to maintain the Confidential Information in strict confidence;
- (b) save as provided in this Agreement, not divulge any of the Confidential Information to any third party or communicate, indicate or suggest to any third party the existence of the Project;
- (c) not to make use of the Confidential Information other than for the purpose of the Project;
- (d) not at any time contest or dispute the ownership of the Confidential Information;
- (e) to restrict access to the Confidential Information only to its own responsible employees or professional advisers who need to have such access for the purposes of the Project and to impose upon such persons obligations of confidentiality equivalent to those contained in this Agreement (and to be responsible for any breach of the terms of this Agreement by its own employees or professional advisers);
- (f) not at any time to reverse engineer, decompile or disassemble any software disclosed to it in the course of the Project and not to remove, overprint or deface any notice of copyright, trademark, logo, legend or other notices of ownership from any originals or copies of Confidential Information;
- (g) to take or to permit to be taken only such copies of any document or other material (in whatsoever medium) embodying any of the Confidential Information as are reasonably necessary for the purposes of the Project
- (h) if the Receiving Party receives any communication requesting disclosure of any of the Confidential Information or indicating an intention to obtain or the fact that there has been obtained any order which would oblige the Receiving Party in law to disclose any of the Confidential Information, the Receiving Party will (immediately and by the fastest means possible, confirmed in writing) communicate to AIT the fact that the communication has been received and all details of the same with a view to the parties co-operating in taking all reasonable and proper steps to ensure so far as is possible that the Confidential Information and the Project are maintained in the strictest confidence; and
- (i) to confirm to AIT in writing at any time on request that it has complied and continues to comply with the provisions hereof.

## 3. **Acknowledgements and Confirmations**

The Receiving Party hereby further acknowledges and confirms to AIT as follows:

- (a) that the Confidential Information is proprietary information of AIT, the disclosure of which could adversely affect the business of AIT and result in economic harm;

- (b) that neither AIT nor any of its advisers nor any of its agents, officers, students, post doctoral students, contractors registered with AIT, or employees accept responsibility or liability for or make any representation, statement or expression of opinion or warranty, express or implied, with respect to the accuracy or completeness of the Confidential Information or any oral communication in connection therewith unless and save to the extent that such representation, statement or expression of opinion or warranty is expressly incorporated into any legally binding contract executed between the parties;
- (c) that the provisions of this Agreement shall continue in effect notwithstanding any decision by the parties not to proceed with the Project or any return or destruction of the Confidential Information;
- (d) that damages alone would not be an adequate remedy for any breach of the provisions of this Agreement and, accordingly, without prejudice to any and all other rights or remedies that AIT may have against the Receiving Party, AIT shall be entitled without proof of special damage to the remedies of temporary or permanent injunction, specific performance and other equitable relief for any threatened or actual breach of the provisions of this Agreement;
- (e) that if AIT furnishes or has furnished any confidential information of an affiliated company, the Receiving Party will have the same obligations to such affiliated company with respect to such information as it has to AIT with respect to the Confidential Information as if all references in this Agreement to AIT were references to such affiliated company; and
- (f) that the disclosure of the Confidential Information by AIT shall not be deemed to confer any proprietary rights upon the Receiving Party nor shall such disclosure be construed as granting any license of rights of any intellectual property in the Receiving Party.

#### **4. Exceptions**

The undertakings contained in Clause 2 and the confirmations and acknowledgements contained in Clause 3 shall not apply to Confidential Information which:

- (a) is or becomes publicly available, other than as a result of a breach of this Agreement, or becomes lawfully available to the Receiving Party from a third party free from any confidentiality restriction;
- (b) was already in the possession of the Receiving Party (as shown by its pre-existing written records) before it was disclosed to the Receiving Party;
- (c) was independently developed without access to or use of the Confidential Information;
- (d) the Receiving Party is required to disclose:
  - (i) by law;
  - (ii) by any rule or regulation of any stock exchange;
  - (iii) by any Court procedure; or
  - (iv) by any rule or regulation of any governmental or other competent authority,

provided that, so far as is practicable to do so the Receiving Party shall consult with AIT prior to such disclosure with a view to agreeing its timing and content.

**5. Return of Confidential Information**

The Receiving Party hereby undertakes with AIT:

- (a) upon demand by AIT or its professional advisers, to either return to such person as they may direct, or destroy, at the option of AIT all the Confidential Information (including all printed and electronic copies thereof) in its possession or control; and
- (b) upon the return or destruction (as the case may be) of all the Confidential Information, to provide AIT with a certificate from an authorised officer stating that it has complied with its obligations under this Clause.

**6. Indemnity**

The Receiving Party agrees to fully indemnify, keep indemnified and hold harmless AIT against all losses, damages, claims, costs, expenses, liabilities, proceedings and demands which AIT may suffer or incur or which may be made against AIT as a result of any unauthorised disclosure or use of the Confidential Information by the Receiving Party, its employees and/or professional advisers.

**7. Term**

- (a) The Receiving Party agrees that its agreements, covenants and undertakings set out in this Agreement will continue in full force and effect and will apply to the Confidential Information for the period of [3] years from the date of this Agreement.
- (b) The provisions of this Agreement shall continue in force notwithstanding the fact that the Project is not proceeded with or has been terminated and regardless of the reasons for such termination.

**8. General**

- (a) The laws of Ireland (excluding Northern Ireland) shall govern this Agreement and any disputes, claims or proceedings arising out of or in any way relating to this Agreement.
- (b) The courts of Ireland (excluding Northern Ireland) shall have exclusive jurisdiction for the purpose of any proceedings arising out of or in any way relating to this Agreement.
- (c) This Agreement contains the entire agreement between the parties and supersedes all prior oral, or written representations, understandings, or agreements.
- (d) Both parties must agree any changes to this Agreement in writing.
- (e) Each Clause of this Agreement is severable if deemed void, illegal or unenforceable by a court or competent authority.

**Signed for and on behalf of  
AIT by its authorised signatory:**

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**Signed for and on behalf of •  
by its authorised signatory:**

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